

# SPARCS Update

New York State Department of Health    January/February 2016    Office of Quality and Patient Safety

## General Announcements

### *Private Referred Ancillaries*

This is a reminder to facilities about a change in the SPARCS' outpatient services reporting requirement, regarding Private Referred Ancillaries.

In a letter, dated September 3, 2015, facilities were informed of a new directive for reporting these services. The new directive stated that: "As required by regulation, Article 28 facilities currently submitting to SPARCS should begin reporting as soon as possible, but no later than January 1, 2016, stand-alone radiology and laboratory patient records in the X12-837I (Institutional) claim format as part of their submission of all outpatient data services." As such, SPARCS Operations expects to receive "lab-only" and "x-ray only" services in the monthly submissions.

This letter was emailed to hospital administrators and SPARCS coordinators, as well as distributed by SPARCS' Listserv. A review of the document is currently available on SPARCS Listserv and under announcement letters on the SPARCS public website:

<http://devweb.health.ny.gov/statistics/sparcs/announcements/>.

### *AdHIMA Presentation*

SPARCS presented at the Adirondack Health Information Association's (AdHIMA) Educational Session in Rensselaer NY on February 18th. The presentation, in line with the session's topic, pertained to SPARCS's handling of ICD-10s, as well as on Private Referred Ancillaries. The presentation will soon be made available on the SPARCS Operations webpage at the following link:

<http://www.health.ny.gov/statistics/sparcs/training/>.

## *Table of Contents*

### **General Announcements**

- Private Referred Ancillaries
- AdHIMA Presentation
- Quality Reports

### **Compliance**

- Monthly
- Quarterly

### **Data Release**

- Data Governance Committee
- Limited and Identifiable

### **Submitter Notes**

- ICD-10 Edits
- HCS Upload Issues

## Quality Reports

Quality reports will be posted beginning in March on the Health Commerce System (HCS), SPARCS page, Reports tab. For those cases where the report identifies a health care facility listing a potential inadequacy or inconsistency in the data, SPARCS Operations staff will be in contact with that facility.

## Compliance

### Monthly

SPARCS expects at least 95% of a healthcare facility's SPARCS records to be submitted within 60 days of the end of the month of the visit/discharge.

An email warning notice will be sent to SPARCS coordinators for all facilities that have submitted no inpatient and/or no outpatient SPARCS data for the visits/discharges that occurred in the month of December 2015 by March 1, 2016 at 5:00pm. The March 2, 2016 Master file upload will be used to determine compliance. SPARCS coordinators may confirm this determination by viewing the March 2, 2016 audit report.

### Quarterly

The third quarter 2015 SPARCS reconciliation period ends the last day of March, 2016. Warning emails will be sent to SPARCS coordinators and administrators of healthcare facilities that have continuing compliance issues after the March Master file upload, March 2, 2015.

One hundred percent of a healthcare facility's SPARCS data for the visits/discharges that occurred in the months of July, August, and September, 2015 must be submitted before 5:00 P.M. on April 5, 2016. Facilities not in compliance will receive a statement of deficiency during the week of April 11, 2016.

### **Third Quarter Submissions (July, August, and September 2015)**

<b>Month</b>	<b>Reconciliation Activities</b>
October 2015	
November 2015	
December 2015	
January 2016	3 <sup>rd</sup> Quarter Reconciliation Period Email warning notice #1
February 2016	3 <sup>rd</sup> Quarter Reconciliation Period Email warning notice #2
March 2016	3 <sup>rd</sup> Quarter Reconciliation Period Email warning notice #3 3 <sup>rd</sup> Quarter data due March31, 2016
April 2016	Statement of Deficiency

## Data Release

### Data Governance Committee

The SPARCS Data Governance Committee held a meeting on February 4, 2016. The following SPARCS identifiable data requests were presented to the Committee during this meeting:

Request #	Project Title	Organization Name
1512-01	The Impact of Heart Disease in Pregnancy on Mother and Baby During Delivery and 30 Day Readmission in New York State	SUNY Stony Brook University Medical Center
1602-02	Air Pollution and Risk of Placental Abruption in New York City	Columbia University
1602-03	Testing a Social Disorganization Theory Framework To Examine Violence Victimization and Mental Illness Among NYC Residents	CUNY Hunter College
1602-04	Integrated Spatial Analytics for Public Health in New York State	Stony Brook University Medical Center
1602-05	Analysis of Demographic and Socioeconomic Factors Affecting Oncologic and Reconstructive Surgery Rates Among Women in New York State	Stony Brook University Medical Center
1602-06	Investigating Epidemiology and Long-term Outcomes of Infectious Endocarditis in New York State	Mount Sinai Medical Center
1602-08	Determinants of Treatment Intensity in New York at the End of Life	New York University Medical Center
1602-09	Analyzing the Implications and Trends of Subspecializations	Mount Sinai Medical Center
1602-10	Assessment of Outcomes Following Procedures for Benign Esophageal Disease	Stony Brook University Hospital
1602-11	Time Series Analysis of Air Pollution, Asthma Exacerbations, and Upper Respiratory Infections	Gradient, Risk Sciences Group

The next meeting of the SPARCS Data Governance Committee will be held on March 18, 2016.

*Limited and Identifiable*

The following organizations received SPARCS data from December 8, 2015 through February 9, 2016.

<b>Organization</b>	<b>Data Type</b>	<b>Years</b>
Center for Disease Control & Prevention	Identifiable	2012
Columbia University	Identifiable	1995-2015
Cornell University	Identifiable	2014
Cornell University	Limited	2013-2015
CUNY Queens College	Identifiable	2014
Dutchess County Department of Health	Limited	2014-2015
Gold Health Strategies, Inc.	Identifiable	2014
Greater New York Hospital Association	Identifiable	2014-2015
Health Quest	Limited	2014-2015
HealthCare Intelligence, LLC	Identifiable	2000-2014
Hospital Executive Council	Limited	2014-2015
Hunter College	Limited	2014
IMS Health	Limited	2014
Intellimed International Corporation	Limited	2014-2015
iVantage Health Analytics	Limited	2014-2015
Lexis Nexis, Risk Solutions, Healthcare	Limited	2014-2015
Manatt Health Solutions (MHS) Picked up by carrier	Limited	2015
Massachusetts General Hospital	Limited	2010-2014
New Jersey Health Care Quality Institute	Identifiable	2014
New Solutions, Inc.	Limited	2014
New York University Medical Center	Identifiable	2000-2014
North Shore-Long Island Jewish Health System	Limited	2005-2014
North Shore-Long Island Jewish Health System	Identifiable	2014-2015
NYC Health and Hospitals Corporation	Limited	2011-2013
Rutgers University	Identifiable	2014
SUNY Downstate Medical Center	Identifiable	2014
SUNY Oswego	Limited	2000-2015
SUNY Stony Brook University Medical Center	Identifiable	1995-2014
SUNY Stony Brook University Medical Center	Limited	2000-2014
Total Benchmark Solutions, LLC	Limited	2014
University of Rochester`	Identifiable	2014
Weil Medical College of Cornell University	Identifiable	2014
Western CT Health Network	Limited	2014-2015

The complete list of approved identifiable data requests dating back to 2009 is on the public webpage at the following address: [http://www.health.ny.gov/statistics/sparcs/dgc/appr\\_data\\_req.htm](http://www.health.ny.gov/statistics/sparcs/dgc/appr_data_req.htm).

## Submitter Notes

### ICD-10 Edits

The ICD-10 edit schema for SPARCS inpatient discharges and outpatient services has been revised. These revisions primarily updated diagnosis code exemptions for reporting Cause of Injury codes. This version of the edits was implemented into production on January 25th. Excel and text versions have been placed in the 'TOOLS' section of the SPARCS site on the Health Commerce System (HCS).

All records with a discharge/end of visit date on or after October 1, 2015 must use ICD-10 codes. Outpatient procedure codes still require CPT/HCPC codes. If you would like to test your SPARCS data prior to submitting to production, you must send it to test by setting ISA15=T.

Exceptions for facilities that are non-compliant will not be granted due to unsuccessful submissions of ICD-10 production data. If you have any questions please call the SPARCS program at 518-473-8144.

### HCS Upload Issues

As previously announced, the Department has discontinued supporting access to HCS applications for users using Windows XP and Internet Explorer version 9 and older. You must upgrade your browser and/or your Operating System if you are still using the outdated platforms. Otherwise, if there are issues submitting SPARCS data we will be unable to address them. Extensions will not be granted if submission errors were received using unsupported systems

If you are using updated versions of your O/S and Browser, and are still experiencing issues with your data submission, please contact us at: (518) 474-3189, or [sparcs.submissions@health.ny.gov](mailto:sparcs.submissions@health.ny.gov).

#### **SPARCS Operations**

Bureau of Health Informatics  
Division of Information and Statistics  
Office of Quality and Patient Safety  
New York State Department of Health  
Empire State Plaza  
Corning Tower, Room 1970  
Albany, New York 12237

Phone: (518) 474-3189  
Fax: (518) 486-3518  
Information: [sparcs.submissions@health.ny.gov](mailto:sparcs.submissions@health.ny.gov)  
Data Access: [sparcs.requests@health.ny.gov](mailto:sparcs.requests@health.ny.gov)  
Listserv: [sparcs-l@health.state.ny.us](mailto:sparcs-l@health.state.ny.us)  
Website: <http://www.health.ny.gov/statistics/sparcs/>

*SPARCS Update newsletters are distributed electronically to individuals who have Health Commerce System (HCS) data upload access, subscribers to SPARCS-L, and other interested parties upon request.*

*Updates are also available online at:  
<http://www.health.ny.gov/statistics/sparcs/newsletters/>*