

SPARCS Update

New York State Department of Health

April/May 2015

Office of Quality and Patient Safety

General Announcements

NYHIMA Conference

SPARCS will be presenting at the New York Health Information Management Conference in Syracuse NY. The date for the conference is June 7-10, 2015 at the OnCenter and Crowne Plaza (<http://www.nyhima.org/annual-conference>).

The *SPARCS Update* presentation is scheduled for Monday, June 8th at 3pm (session 4c). Presented will be a review of changes to SPARCS in the past year, as well as what is planned for the upcoming year.

After the conference, the presentation will be made available on the SPARCS webpage at the following link:
<http://www.health.ny.gov/statistics/sparcs/training/>

Data Quality Compliance

With the adoption of the revised regulations on September 3, 2014, SPARCS data is now subject to data quality compliance. The SPARCS program is currently preparing a data quality protocol. The protocol will serve as a guide to how SPARCS staff will monitor the quality of data submitted by facilities. In it will be the data fields being monitored, how facilities will be notified of issues, and the penalties enforced if issues are not resolved. As the protocol develops, we will be reaching out to facilities for their feedback.

ICD-10 Beta Testing

As stated in the March 2015 SPARCS Update, ICD-10 beta testing is open to all submitters. It is crucial that you begin testing as soon as possible.

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The allowable service date range for ICD-10 coding is 10/1/2015 through 9/30/2016, and the TEST/PROD indicator must be set to beta ("B") or "beta test" in the export option in the SPARCS 837 PC application.

The ICD-10 Edit Flags and Exceptions spreadsheet is now available on the HCS in the Tools area of the SPARCS Data Submission and Data/Report System.

Statistical Briefs

Statistical Brief #8, "**New York State All Payer Emergency Room Visits, 2013**" is now available for download from <http://www.health.ny.gov/statistics/sparcs/sb/docs/sb8.pdf>. This Statistical Brief provides a more comprehensive picture of how the ER serves the NYS population as a source of emergent and non-emergent care and contribute a snapshot into patterns of emergency department usage by NYS residents in 2013.

Statistical Brief #9, "**New York State All Payer Patient Safety Indicators, 2009-2013**" is now available for download from <http://www.health.ny.gov/statistics/sparcs/sb/docs/sb9.pdf>. This Statistical Brief provides a summary of the Agency of Healthcare Research and Quality (AHRQ) Patient Safety Indicator (PSI) metrics for all payer inpatient hospital discharges in New York State (NYS) from January 1, 2009 through December 31, 2013.

Compliance

Monthly data

Ninety-five percent of patient discharges/visits are due 60 days from the end of the month that the discharge/visit occurred. Patient discharges/visits that occurred in the month of February 2015 were due by April 30th.

Compliance reports are based upon the SPARCS data uploaded monthly, occurring on the first Wednesday of the next month. The April monthly upload occurred on May 6, 2015. Data submitted by 5:00 PM on May 5th will appear on the April compliance report generated by the monthly upload.

Third Quarter Status

Third quarter SPARCS reconciliation compliance period ended March 31, 2015. Thirty-one facilities still had outstanding months and types of data to resolve, but due to the late publishing of the March compliance report the due date was extended to April 30th. Statements of deficiency will be issued the week of May 11th, 2015 for all noncompliant healthcare facilities.

Fourth Quarter Status

June 30th, 2015 is the ending date of the SPARCS reconciliation compliance period for the collection of 100% of all patient records for discharges or visits that occurred in October, November, or December 2014. The first warning notice for the SPARCS reconciliation compliance was mailed to healthcare facilities on April 24th. The letter advised that SPARCS conducted a monthly audit of the number of patient records received and found the number of records to be less than the expected number of records. The expected number of patient records (the target), is the monthly average of the previous year's number of records submitted by type of record, discounted by 80%, and 75% in February and December. During the

reconciliation period healthcare facilities are required to review the number of records submitted and compare them to the number of records in their own system. In the case of months with fewer patient records than the expected, the SPARCS coordinator must email SPARCS and request an exception. Exception requests should be mailed to the SPARCS at SPARCS.submissions@health.ny.gov. SPARCS returns all exception requests with a confirmation email and a compliance report attached showing the request. Exceptions will not be granted if the facility has outstanding unresolved errors.

Fourth quarter reconciliation activities

Discharge/visit month	MONTHLY SUBMISSION 95% of SPARCS data due end of month	Fourth Quarter Reconciliation activities
October 2014	December 2014	
November 2014	January 2015	
December 2014	February 2015	
January 2015	March 2015	
February 2015	April 2015	
March 2015	May 2015	
April 2015	June 2015	<u>4th Quarter</u> 1st Warning Letter
May 2015	July 2015	<u>4rd Quarter</u> 2nd Warning Letter Week of May 11, 2015
June 2015	August 2015	<u>4th Quarter</u> <u>4th</u> Warning Letter; 3 rd Warning letter Week of June 8, 2015 4th Quarter Data Due June 30, 2015
July 2015	September 2015	Statement of Deficiency Issued

Data Release

Data Governance Committee

The SPARCS Data Governance Committee held a meeting on April 16, 2015. The following SPARCS identifiable data requests were presented to the Committee:

Request	Project Title	Organization
1504-01	NYU Hospitals Clinical Research Data Management Core	NYU School of Medicine
1504-02	Trends in Outcomes and Costs Among Patients with Specific Inflammatory Neurological Disorders Treated with Intravenous Immunoglobulin in the United States	Rutgers University

The next meeting of the SPARCS Data Governance Committee will be held on May 27, 2015.

Limited and Identifiable

The following organizations received SPARCS data files from March 17, 2015 through May 6, 2015.

Organization	Data Type	Years
Adirondack Medical Center	Limited	2013-2014
California Nurses Association	Limited	2003-2008
Cornell University	Identifiable	2005-2006
CUNY School of Public Health	Limited	2008-2014
Finger Lakes Health Systems Agency	Identifiable	2013
Greater New York Hospital Association	Identifiable	2013-2014
Health Quest	Limited	2014
Hospital for Special Surgery	Identifiable	2013-2014
Intellimed International Corporation	Limited	2013
iVantage Health Analytics	Limited	2014
New York University, School of Medicine	Identifiable	2013-2014
North Shore-Long Island Jewish Health System	Identifiable	2014
North Shore-Long Island Jewish Health System	Identifiable	2012-2013
NYC Department of Health & Mental Hygiene	Identifiable	2011-2014
Total Benchmark Solutions, LLC	Limited	2013
Treo Solutions	Identifiable	2014
Truven Health Analytics - MMT	Identifiable	2014-2015
University of California - Berkeley	Limited	2008-2013
University of California - San Francisco	Identifiable	2010-2013
University of Rochester Medical Center	Identifiable	2000-2013

For a complete listing of all identifiable data releases dating back to 2009, please go to:
http://www.health.ny.gov/statistics/sparcs/dgc/appr_data_req.htm.

Submitter Notes

ICD-10 Qualifiers

On 10/1/2015 SPARCS will transition to the ICD-10 coding system for collecting inpatient and outpatient diagnosis and procedure code data in the X12-837 5010 format. The ICD-10 code qualifiers are listed in the SPARCS X12-837 Input Data Specifications manual. The manual is available on the Department of Health's public website at the following URL:

<http://www.health.ny.gov/statistics/sparcs/sysdoc/input5010.pdf>

Listed below are the code qualifiers required to submit ICD-9 diagnosis and procedure codes. Also listed are the qualifiers for the ICD-10 codes that will be required on, or after, October 1, 2015 to submit ICD-10 diagnosis and procedure codes.

ICD Code	ICD-9 Qualifier	ICD-10 Qualifier implemented 10/1/2015	SPARCS X12-837 Input Data Specifications
Principle Diagnosis Code	BK	ABK	Page 174
Admitting Diagnosis Code	BJ	ABJ	Page 177
Patient's Reason for Visit Code	PR	APR	Page 179
External Cause of Injury Code	BN	ABN	Page 181
Other Diagnosis Code	BF	ABF	Page 184
Principal Procedure Code	BR	BBR	Page 187
Other Procedure Code	BQ	BBQ	Page 191

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